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SENATE RESOLUTION NO.
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                         BY COMMITTEE ON ETHICS
                          (SUCCESSOR TO SSB 1017)
   4 A Resolution relating to the Senate Rules Governing
         Lobbyists and their interactions with the Senate and
         members of the Senate during the Eighty=third General
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         Assembly.
         BE IT RESOLVED BY THE SENATE, That the Senate Rules
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   9 Governing Lobbyists for the Eighty-second Eighty-third
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1 10 General Assembly shall be as follows:
                    SENATE RULES GOVERNING LOBBYISTS
1 12 1. DEFINITIONS. As used in these rules, "client", 1 13 "gift", "honoraria" or "honorarium", "immediate family 1 14 member", and "lobbyist" have the meaning provided in 1 15 chapter 68B. As used in these rules, the term
1 16 "political action committee" means a committee, but 1 17 not a candidate's committee, which accepts
1 18 contributions, makes expenditures, or incurs
1 19 indebtedness in the aggregate of more than seven
1 20 hundred fifty dollars in any one calendar year to
  21 expressly advocate the nomination, election, or defeat
  22 of a candidate for public office or to expressly
1 23 advocate the passage or defeat of a ballot issue or
  24 influencing legislative action, or an association, 25 lodge, society, cooperative, union, fraternity,
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  26 sorority, educational institution, civic organization,
  27 labor organization, religious organization, or
  28 professional or other organization which makes
  29 contributions in the aggregate of more than seven
  30 hundred fifty dollars in any one calendar year to
   1 expressly advocate the nomination, election, or defeat 2 of a candidate for public office or to expressly
   3 advocate the passage or defeat of a ballot issue or
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   4 influencing legislative action.
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          2. APPLICABILITY. These rules are only applicable
   6 to lobbying activities involving the Iowa general
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   7 assembly.
          3. REGISTRATION REQUIRED. All lobbyists shall, on
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   9 or before the day their lobbying activity begins,
  10 register in the manner provided under section 68B.36
  11 by filing a completed lobbyist's registration form
  12 with the person or persons designated by the chief
2 13 clerk of the house and the secretary of the senate to
2 14 receive lobbyist registration statements. Lobbyist 2 15 registration forms shall be available in the office of 2 16 the chief clerk of the house and the secretary of the
2 17 senate. In addition, the lobbyist shall file with the
  18 secretary of the senate a statement of the general
2 19 subjects of legislation in which the lobbyist is or
2 20 may be interested, the numbers of the bills and
  21 resolutions and the bill number of study bills (if
  22 known) which will be lobbied, whether the lobbyist
  23 intends to lobby for or against each bill, resolution,
  24 or study bill (if known), and on whose behalf the 25 lobbyist is lobbying the bill, resolution, or study
  26 bill.
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          Any change in or addition to the foregoing
  28 information shall be registered with the person or 29 persons designated by the chief clerk of the house and
  30 the secretary of the senate to receive lobbyist
   1 registration statements within ten days after the
   2 change or addition is known to the lobbyist.
        Registration expires upon the commencement of the
   4 next regular session of the general assembly, except
   5 that the secretary of the senate may adopt and 6 implement a reasonable preregistration procedure in
   7 advance of each regular session during which persons
   8 may register for that session and the following
   9 legislative interim.
        4. CANCELLATION OF REGISTRATION. If a lobbyist's
3 11 service on behalf of a particular employer, client, or
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3 12 cause is concluded prior to the end of the calendar

3 13 year, the lobbyist may cancel the registration on 3 14 appropriate forms supplied by the secretary of the 3 15 senate. Upon cancellation of registration, a lobbyist 3 16 is prohibited from engaging in any lobbying activity 3 17 on behalf of that particular employer, client, or 3 18 cause until reregistering and complying with these 3 19 rules. A lobbyist's registration is valid for only 3 20 one session of a general assembly.

5. AMENDMENT OF REGISTRATION. If a registered 22 lobbyist represents more than one employer, client, or 23 cause and the lobbyist's services are concluded on 3 24 behalf of a particular employer, client, or cause 25 after the lobbyist registers but before the first day 26 of the next legislative session, the lobbyist shall 27 file an amendment to the lobbyist's registration 28 indicating which employer, client, or cause is no 29 longer represented by the lobbyist and the date upon 30 which the representation concluded.

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If a lobbyist is retained by one or more additional 2 employers, clients, or causes after the lobbyist registers but before the first day of the next legislative session, the lobbyist shall file an 5 amendment to the lobbyist's registration indicating 6 the employer, client, or cause to be added and the date upon which the representation begins.

Amendments to a lobbyist's registration regarding 9 changes which occur during the time that the general 4 10 assembly is in session shall be filed within one 4 11 working day after the date upon which the change in 4 12 the lobbyist's representation becomes effective.

6. PUBLIC ACCESS. All information filed by a 4 14 lobbyist or a client of a lobbyist under chapter 68B 4 15 of the Code is a public record and open to public 4 16 inspection at any reasonable time.

7. LOBBYIST AND CLIENT REPORTING. Each lobbyist 4 18 registered with the senate and each lobbyist's client 4 19 shall file the reports required under sections 68B.37 4 20 and 68B.38 with the secretary of the senate.

For purposes of this rule, and the reports required 4 22 under sections 68B.37 and 68B.38, "services enumerated 23 under section 68B.2, subsection 13, paragraph "a"" and 24 "lobbying purposes" include, but are not limited to, 4 2.4 4 25 the following:

- a. Time spent by the lobbyist at the state capitol 27 building commencing with the first day of a 4 28 legislative session and ending with the day of final 29 adjournment of each legislative session as indicated
  - 30 by the journals of the house and senate.
    1 b. Time spent by the lobbyist attending meetings 2 or hearings which results in the lobbyist communicating with members of the general assembly or legislative employees about current or proposed legislation.
  - c. Time spent by the lobbyist researching and drafting proposed legislation with the intent to 8 submit the legislation to a member of the general 9 assembly or a legislative employee.
- d. Time spent by the lobbyist actually 11 communicating with members of the general assembly and 5 12 legislative employees about current or proposed 5 13 legislation.
- 5 14 8. GOVERNMENT OFFICIALS. All federal, state, and 5 15 local officials or employees representing their 5 16 departments, commissions, boards, or agencies shall 5 17 present to the secretary of the senate a letter of 5 18 authorization from their department or agency heads 5 19 prior to the commencement of their lobbying. The 20 lobbyist registration statement of these officials and employees shall not be deemed complete until the 22 letter of authorization is attached. Federal, state, 23 and local officials who wish to lobby in opposition to 24 their departments, commissions, boards, or agencies 25 must indicate such on their lobbyist registration 26 statements.
  - 9. CHARGE ACCOUNTS AND LOANS. Lobbyists and the 28 organizations they represent shall not allow any 29 senators to charge any amounts or items to any charge 30 account to be paid for by those lobbyists or by the organizations they represent. A lobbyist shall not 2 make a loan to a senator unless the loan is made in 3 the ordinary course of business, the lobbyist is in

4 the business of making loans, and the terms and 5 conditions of the loan are the same or substantially 6 similar to the finance charges and loan terms that are 7 available to members of the general public. 8 10. OFFERS OF ECONOMIC OPPORTUNITY. A lobbyist,

9 an employer of a lobbyist, or a political action 10 committee shall not offer economic or investment 11 opportunity or promise of employment to any senator 6 12 with intent to influence the senator's conduct in the 6 13 performance of official duties. 6 14

A lobbyist shall not take action intended to 6 15 negatively affect the economic interests of a senator.

For purposes of this rule, supporting or opposing a 6 17 candidate for office or supporting or opposing a bill, 6 18 amendment, or resolution shall not be considered to be 6 19 action intended to negatively affect the economic 6 20 interests of a senator.

- 11. MEMBERSHIP CONTRIBUTIONS. A lobbyist, or 6 22 employer of a lobbyist, shall not pay for membership 23 in or contributions to clubs or organizations on 24 behalf of a senator.
- 12. ACCESS TO SENATE FLOOR. Lobbyists shall not 6 25 26 be permitted on the floor of the senate while the 27 senate is in session. Elected state officials, except 28 the governor, lieutenant governor, and the members of 29 the house of representatives, shall not be permitted 30 on the floor of the senate while the senate is in 1 session to encourage the passage, defeat, or 2 modification of legislation.
  - 13. EFFECTIVE PERIOD. These rules governing 4 lobbyists shall be in effect throughout the calendar 5 year, whether or not the general assembly is in 6 session.
  - 14. GIFTS. A lobbyist or the client of a lobbyist 8 shall not, directly or indirectly, offer or make a 9 gift or a series of gifts to a senator, except as 10 otherwise provided in section 68B.22.
- 15. HONORARIA. A lobbyist or client of a lobbyist 11 7 12 shall not give an honorarium to a member or employee 7 13 of the senate, except as otherwise provided in section 14 68B.23.
- 15 COMPLAINTS. Rules 15 through 23 25 of the 16. 7 16 senate code of ethics apply to complaints and 17 procedures regarding violations of these rules. 7 18 LSB 1531SV 83

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